



## Linedata Navquest Cash Monitoring

**Linedata Navquest Cash Monitoring** helps Depository Banks and other institutions comply with the cash monitoring requirements of AIFMD and UCITS V. Automated controls, intuitive tools, and a digital audit trail all help to monitor, control, and document cash movements efficiently and effectively.

Learn more at [linedata.com/cashmonitoring](https://linedata.com/cashmonitoring)  
Book a demo at [linedata.com/contact](https://linedata.com/contact)

## Incident Management

The **Incident Management** screen provides a dashboard summary of all detected issues, such as significant or inconsistent cash movements, and reconciliation problems around cash or subscriptions / redemptions. The full audit trail includes up-to-date control results (Pass/Fail), and current incident status (e.g. Reviewed, Review in Progress).

The **Manage Views** feature lets users configure screens to their individual preferences, with intuitive sort and drill-down options.

Save time with a snapshot summary of issues needing review.

	Group	Fund Name	Rule Name	Incident Result	Fail Item	Threshold	Value
Needs 2nd Review (2)							
<input type="checkbox"/>		FUND BDS UNIV UNC	Significant Cash Mvt Check	Fail 2nd Limit	83-2524260-100-90_EUR   DPAM L BDS UNIV.UNCONST*TG   Desc: 06/09/2022	0.001	0.015
<input type="checkbox"/>		FUND BDS UNIV UNC	Significant Cash Mvt Check	Fail 2nd Limit	83-2524260-100-90_JPY   DPAM L BDS UNIV.UNCONST*TG   Desc: 06/09/2022	0.001	-0.0544
Review In Progress (8)							
<input type="checkbox"/>		FUND BONDS EUR	Significant Cash Mvt Check	Fail 2nd Limit	83-2582453-100-74_EUR - DPAM B BONDS EUR*TG	0.001	0.0061
<input type="checkbox"/>		FUND EQ JAPAN IND	Significant Cash Mvt Check	Fail 2nd Limit	83-2583538-100-32_EUR - DPAM B EQ.JAPAN INDEX*TG	0.001	-0.0015
<input type="checkbox"/>		FUND EQ JAPAN IND	Significant Cash Mvt Check	Fail 2nd Limit	83-2583538-100-32_EUR - DPAM B EQ.JAPAN INDEX*TG	0.001	-0.5665
<input type="checkbox"/>		FUND EQ JAPAN IND	Significant Cash Mvt Check	Fail 2nd Limit	83-2583538-100-32_EUR - DPAM B EQ.JAPAN INDEX*TG	0.001	-0.0197
<input type="checkbox"/>		FUND EQ JAPAN IND	Significant Cash Mvt Check	Fail 2nd Limit	83-2583538-100-32_EUR - DPAM B EQ.JAPAN INDEX*TG	0.001	-0.1277
<input type="checkbox"/>		FUND BDS UNIV UNC	Significant Cash Mvt Check	Fail 2nd Limit	83-2524260-100-90_EUR   DPAM L BDS UNIV.UNCONST*TG   Desc: 05/09/2022	0.001	-0.0194
<input type="checkbox"/>		FUND BDS EMK SUST	Significant Cash Mvt Check	Fail 2nd Limit	83-2524320-100-47_EUR - DPAM L BDS EM.MARK.SUST*TG	0.001	-0.044
<input type="checkbox"/>		FUND BDS EUR QU S	Significant Cash Mvt Check	Pass	Number of transactions processed: 6 - No Fail	0	0
Review Unchecked (2)							
<input type="checkbox"/>		FUND EQ WORLD SUST	Significant Cash Mvt Check	Fail 2nd Limit	83-2582457-100-97_EUR - DPAM B EQ.WORLD SUST*TG	0.001	-0.008
<input type="checkbox"/>		FUND REAL E EUR ST	Significant Cash Mvt Check	Fail 2nd Limit	83-2582456-100-67_EUR - DPAM B R.ESTATE EUR.SUS*TG	0.001	-0.0204
Reviewed OK (127)							
To Investigate (59)							

# Linedata Navquest Cash Monitoring

## Incident Review

Deep dive the details of any cash transaction or position (including those with Pass status). The pop-up **Review** screen provides a range of tools for reviewing, documenting, and closing incidents.

Reviews can be documented with (pre-formatted) comments and by linking documentation. Results can be exported to Excel, and the system maintains a historical time-stamped record for audit purposes.

Dashboard summary of available tools for reviewing a specific incident.

The screenshot displays the Linedata Navquest Cash Monitoring dashboard. A 'Review' pop-up window is open, showing details for a 'Significant Cash Mvt Check' incident. The pop-up includes fields for Portfolio Date (07 sept. 2022), IDC (CUS), Status (Fail 2nd Limit), and Process (Needs 2nd Review). It also shows the item ID (83-2524260-100-90\_JPY | DPAM L BDS UNIV.UNCONST\*TG | Desc: 06/09/2022) and a 'REJECT' button. The pop-up also has sections for '1st reviewer' (SA\_LVTS2, 05 janv. 2023 05:27 AM), 'Reason and comments' (REC-Coupon, REC-Coupon - Accounting date different between FA and Custody - ok checked), 'Documents' (No Documents Added), and a 'Reason (optional)' dropdown. At the bottom of the pop-up, there are buttons for 'APPROVE OK', 'APPROVE NOT OK', 'SAVE', and 'CLOSE'. The background shows a table of incidents with columns for Portfolio Date, IDC, Status, Process, and a summary of transactions processed.

Portfolio Date	IDC	Status	Process	Summary
07 sept. 2022	CUS	Fail 2nd Limit	Needs 2nd Review	
06/09/2022	FUND BDS GOV GL	Pass	Significant Cash Mvt Check	Number of transactions processed: 5 - No Fail
06/09/2022	DPAM L WORLD SRI	Pass	Significant Cash Mvt Check	Number of transactions processed: 17 - No Fail
06/09/2022	DPAM L BONDS GOV S...	Pass	Significant Cash Mvt Check	Number of transactions processed: 1 - No Fail
29/08/2022	FUND BDS UNIV UNC	Pass	Significant Cash Mvt Check	Number of transactions processed: 0 - No Fail
01/09/2022	FUND BDS UNIV UNC	Pass	Significant Cash Mvt Check	Number of transactions processed: 16 - No Fail

## Transaction View

View all transactions for a given date or range of dates from one or more data sources, using **Transaction View**. Use the filter function to focus on specific sets of information or statuses (e.g. all transactions with an error status).

The **Manage Views** feature lets each user create their own screens and views. For specific transactions, see the reason(s) why they generated an incident.

## Overview of datapoints for transactions under review.

The screenshot displays the NavQuest management interface. The main content area shows a table of transactions under review. The table has the following columns: Is Failed, Fund Name, Value Date, Trade Date, Security Name, Reference, Quantity, Base Amount, Local Amount, Transaction Comment, and Settlement. The table contains 18 rows of data, with two rows marked as 'Failed' and the rest as 'Passed'. The sidebar on the left shows filters for Date Range (04/08/1980 - 09/02/2023), IDC (All), and My Groups (My Accounts: 249, French Funds: 150, Luxembourgish Fu...: 99).

Is Failed	Fund Name	Value Date	Trade Date	Security Name	Reference	Quantity	Base Amount	Local Amount	Transaction Comment	Settlement...
Passed	JAVELIN SEL CHINA	15/09/2022 ...	13/09/2022 ...	83-2512510-100-88_HKD	51642169_05	6,500	-81,750.3796	-641,733.1	POMS4477417	13/09/2022 ...
Passed	JAVELIN SEL CHINA	06/09/2022 ...	02/09/2022 ...	83-2512510-100-88_HKD	51595735_05	-146,500	131,559.2314	1,032,728.09	POMS4446964	02/09/2022 ...
Passed	JAVELIN SEL CHINA	06/09/2022 ...	02/09/2022 ...	83-2512510-100-88_HKD	51595735_05	-146,500	131,559.2314	1,032,728.09	POMS4446964	02/09/2022 ...
Passed	JAVELIN SEL CHINA	06/09/2022 ...	02/09/2022 ...	83-2512510-100-88_HKD	51595804_05	900,000	-306,009.4426	-2,402,146.5	POMS4446965	02/09/2022 ...
Passed	JAVELIN SEL CHINA	06/09/2022 ...	02/09/2022 ...	83-2512510-100-88_HKD	51595804_05	900,000	-306,009.4426	-2,402,146.5	POMS4446965	02/09/2022 ...
Failed	JAVELIN SEL CHINA	01/09/2022 ...	30/08/2022 ...	83-2512510-100-88_HKD	51483638_05	-22,000	152,480.3522	1,196,957	POMS4428539	30/08/2022 ...
Failed	JAVELIN SEL CHINA	01/09/2022 ...	30/08/2022 ...	83-2512510-100-88_HKD	51483638_05	-22,000	152,480.3522	1,196,957	POMS4428539	30/08/2022 ...
Passed	JAVELIN SEL CHINA	09/09/2022 ...	07/09/2022 ...	83-2512510-100-88_HKD	51616092_06	-7,000	76,759.5477	602,555.52	POMS4460703	07/09/2022 ...
Passed	JAVELIN SEL CHINA	09/09/2022 ...	07/09/2022 ...	83-2512510-100-88_HKD	51616092_06	-7,000	76,759.5477	602,555.52	POMS4460703	07/09/2022 ...
Passed	JAVELIN SEL CHINA	09/09/2022 ...	07/09/2022 ...	83-2512510-100-88_HKD	51616113_05	-125,000	227,174.7946	1,783,301.63	POMS4460717	07/09/2022 ...
Passed	JAVELIN SEL CHINA	09/09/2022 ...	07/09/2022 ...	83-2512510-100-88_HKD	51616113_05	-125,000	227,174.7946	1,783,301.63	POMS4460717	07/09/2022 ...
Passed	JAVELIN SEL CHINA	14/09/2022 ...	29/08/2022 ...	83-2512510-100-88_HKD	51481728_01	-55,000	16,815.48	132,000		29/08/2022 ...
Passed	JAVELIN SEL CHINA	14/09/2022 ...	29/08/2022 ...	83-2512510-100-88_HKD	51481728_01	-55,000	16,815.48	132,000		29/08/2022 ...
Passed	JAVELIN SEL CHINA	14/09/2022 ...	09/09/2022 ...	83-2512510-100-88_HKD	51629781_04	25,000	-287,341.9783	-2,255,608.59	POMS4469164	09/09/2022 ...
Passed	JAVELIN SEL CHINA	14/09/2022 ...	09/09/2022 ...	83-2512510-100-88_HKD	51629781_04	25,000	-287,341.9783	-2,255,608.59	POMS4469164	09/09/2022 ...
Passed	JAVELIN SEL CHINA	14/09/2022 ...	09/09/2022 ...	83-2512510-100-88_HKD	51629782_05	600,000	-247,833.366	-1,945,469.55	POMS4469165	09/09/2022 ...
Passed	JAVELIN SEL CHINA	14/09/2022 ...	09/09/2022 ...	83-2512510-100-88_HKD	51629782_05	600,000	-247,833.366	-1,945,469.55	POMS4469165	09/09/2022 ...