

Why Linedata Compliance

Linedata Compliance simplifies post-trade compliance, putting you in control in three key areas:



Rapid rule creation and maintenance:

- Over 250 pre-packaged parameterized rules supporting thousands of specific regulatory and prospectus compliance use cases
- Satisfies bespoke compliance requirements with an intuitive rule builder designed for business users
- Rapid rules review and 4-eyes approval with 'Maker/Checker' workflow



Prompt breach analysis and resolution

- Improved operational efficiency through rapid identification, analysis and reporting of regulatory and portfolio breaches
- Ability to tailor bespoke dashboards to your specific requirements, with widgets that can be configured, extended or built to meet any visualization requirements
- Automatic breach communication via email alerts per user type and breach severity



Ongoing compliance and reporting

- Scalable solution used by industry leaders to screen thousands of funds in real time for breaches and potential compliance risk
- Full audit trail and complete transparency around historical compliance and breach resolution
- Automation of reporting requirements, including AIFMD, UCITS, disclosure, mandate review and more



Fast facts

Linedata compliance is a robust, scalable monitoring and breach management solution.

- Over 70 clients worldwide
- More than 25 separate jurisdictions
- Over 250 pre-packaged rules
- Thousands of funds screened daily by the largest clients

Your post-trade compliance questions. Answered.

Linedata Compliance answers tough questions faced by Custodians and Third-Party Agents:

- Can I see at a glance how many breaches I need to review, and sort them by priority?
- Can I be notified about missing data or data quality issues?
- Can I see calculation details for complex rules?
- Can I calculate AIF leverage and UCITS commitment for use in risk reports?
- Can I provide results on a web portal after internal validation?
- Can I easily define rules inheritance and aggregation to avoid double work?

With Linedata Compliance the answer is 'Yes, you can!'



Fund setup made easy

Onboarding new funds has never been easier, thanks to:

- A range of data format and upload options including flat files, APIs and database to database
- Quick-start setup of datapoints (e.g. fund name, group name, currency, domicile, applicable regulation) from flat file data
- Data integration with your data warehouse, ICE (via Linedata), Bloomberg and other third-party sources



Robust post-trade compliance solution

Linedata Compliance is more than just rules validation. Benefit from:

- '40 Act, AIF and UCITS reporting
- Global exposure and risk calculations
- NAV validation, including complex composite benchmark calculations
- Expert advice and support from our compliance and solution experts
- Our 20 years as industry leaders in asset management solutions

Linedata Asset Management

We offer a robust, configurable platform of software, data and services that enable our wealth, institutional and alternative clients to grow, operate efficiently, manage change and provide excellent service to their own clients and stakeholders.

About Linedata

With 20 years' experience, Linedata provides global humanized technology solutions and services for the asset management and credit industries that help its clients to evolve and to operate at the highest levels.

700+

clients

50+

countries

1,100

employees

20

offices

getinfo@linedata.com or visit linedata.com