

Linedata addresses complex compliance requirements

Linedata Compliance offers extensive and automated compliance capabilities, including static and dynamic tiered rules support for ESMA

PARIS and BOSTON, July 30, 2012: Linedata, the global solutions provider dedicated to the investment management and credit industries, today announced the release of the latest version of Linedata Compliance, its award winning compliance solution used by over 80 asset managers, administrators and hedge funds globally. This newest version offers **enhanced support for tiered rules** and look-through to underlying securities of funds, derivatives and indexes commonly required for regulatory disclosures such as **substantial shareholder and short selling restrictions**.

Globally, firms are facing widespread regulatory changes that are constantly increasing in complexity. With regulators and investors keeping a closer eye on compliance, it is essential to have a compliance infrastructure that provides the internal controls and audit trails necessary to comply with reporting and compliance burdens. Pressure to lower operational risk and expenses is resulting in greater demand for additional services from technology.

To meet these needs, Linedata Compliance now enables **the automation of even the most complicated regulatory disclosure rules**, such as the European Securities and Markets Authority (ESMA), which rely on tiered limits short selling restrictions. Uniquely positioned to handle a myriad of complex and evolving regulatory needs, Linedata Compliance makes the monitoring of any of these types of restrictions seamless.

"The paradigm of investment compliance is shifting from its current reactive point-in-time approach to being continuously proactive and collaborative. With this shift, firms require superior rule coverage, strong audit controls, more transparency and greater usability," remarks Gavin Little-Gill, Global Head of Asset Management Product Strategy at Linedata. "Our product strategy is to continually expand our rule capabilities and accommodate new compliance and audit processes which further extend Linedata Compliance as a differentiator for our clients."

This latest version of Linedata Compliance also introduces **best-of-breed post-trade usability** developed in conjunction with **some of our largest global administrator clients**. The new functionality includes enhanced historical breach views as well as stronger internal controls through a new maker/checker (four eyes) review process. These features now allow compliance analysts to review and compare results for historical periods of time alongside current breach management reports. As compliance processes are further put under a microscope, a 'four eyes' review process enables breaches to be reviewed and approved with a breach action log that tracks and identifies the approval path.

Linedata Compliance delivers comprehensive, fast integrated compliance monitoring across all assets, markets and company locations within a single instance to over 80 firms worldwide. It is available as an integrated solution either with existing proprietary/third party front and back office systems or with other Linedata solutions. As a technology partner, Linedata is not just a software provider; Linedata experts also provide **service based compliance solutions** to support clients in their mission critical compliance requirements.

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ABOUT LINEDATA

Linedata is a global solutions provider with 700 clients operating in 50 countries. With more than 900 employees across the globe, Linedata is dedicated to the investment management and credit community. Linedata has been at the service of the financial industry from day one, and applies its market and client insight to provide innovative and tailored mission-critical software and services that help its clients grow. As a pioneer for over 10 years with the setup of SaaS infrastructure for the financial industry, Linedata has preached and spread this model throughout the global financial community, for its full range of products. Headquartered in France, Linedata achieved revenues of EUR 137.3 million in 2011. This company is listed on Euronext Paris FR0004156297-LIN - Reuters LDSV.LN - Bloomberg LIN:FP.

Linedata provides comprehensive solutions and services to manage all types of investment processes, from pre-trade to post-settlement, across a wide range of firms, including institutional portfolio and collective management companies, hedge funds, prime brokers, fund managers, transfer agents, corporate savings fund managers, subsidiaries of banks or independents, and administrators.

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